PTO/SB/21 (09-04

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reviction Act of 19 no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/824,305 TRANSMITTAL Filing Date April 2, 2001 **FORM** First Named Inventor Mahajan, Umesh Art Unit 3624 (to be used for all correspondence after initial filing) **Examiner Name** Alpert, James M. Attorney Docket Number Total Number of Pages in This Submission G08.055 **ENCLOSURES** (Check all that apply) After Allowance communication to (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to TC Petition Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please identify below): Copy of European Communication, Form 1449 with copies of 2 cited references, and Acknowled comest Paraces. Χ Terminal Disclaimer **Extension of Time Request** Acknowledgement Postcard **Express Abandonment Request** Request for Refund 2nd Supplemental Information CD, Number of CD(s) _ Disclosure Statement Landscape Table on CD Certified Copy of Priority Document(s) Remarks Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Buckley, Maschoff & Talwalkar LLC Signature Printed name Nathaniel Levin Reg. No. 34,860 Date September 30, 2005 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Signature

OCT 0 8 2005

Typed or printed name

Edith Martin

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. In you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date

September 30, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MAHAJAN et al.

Application No.: 09/824,305

Filing Date: April 2, 2001

For: APPARATUS, METHODS AND

ARTICLES OF MANUFACTURE

FOR COMPUTERIZED

TRANSACTION EXECUTION AND

PROCESSING

Group Art Unit: 3624

Examiner: Alpert, James M.

2nd SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: G08.055

PTO Customer Number 28062
Buckley, Maschoff & Talwalkar LLC

Five Elm Street

New Canaan, CT 06840

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 30, 2005.

Dated: September 30, 2005

Edith Mortin

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant submits herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor

Application Serial No.: 09/824,305 Attorney Docket No.: G08.055

shall the filing of this Information Disclosure Statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

The items listed herein were cited in a June 14, 2005 European Patent Communication in a counterpart application. A copy of the Communication Report is also enclosed.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed before the mailing date of first office action on the merits.

Respectfully submitted,

September 30, 2005

Date

Nathaniel Levin

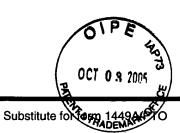
Registration No. 34,860

Buckley, Maschoff & Talwalkar LLC

Five Elm Street

New Canaan, CT 06840

(203) 972-3460



2nd SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known					
Application Number 09/824,305					
Filing Date	April 2, 2001				
First Named Inventor	MAHAJAN, Umesh				
Group Art Unit	3624				
Examiner Name	Alpert, James M.				
Attorney Docket Number	G08.055				

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	U.S. Patent Document Kind Code ² Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
	140.				MM-DD-YYYY	Figures Appear			
	<u> </u>								
						·			
	-		-						

FOREIGN PATENT DOCUMENTS										
		Foreign Patent Document			<u> </u>	Date of Publication of	Pages, Columns, Lines,	Τ.		
	Cite No.	Office ³	Number ⁴	Kind Code⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T⁵		
	A	wo	00/65510	Al	Disraeli	11/02/2000		1		
	В	WO	00/70524	A1	Kopikis et al.	11/23/2000				
	_							╄		
								+		
	-							+-		
								Г		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO			·· -	Complete if Known		
				Application Number	09/824,305	
	PPLEMENTA MATION DISC		SURE	Filing Date	April 2, 2001	
STATEMENT BY APPLICANT			CANT	First Named Inventor	MAHAJAN, Umesh	
				Group Art Unit	3624	
(use as many sheets as necessary)		Examiner Name	Alpert, James M.			
Sheet	2	of	2	Attorney Docket Number	G08.055	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		"European Patent Communication", mailed 14/06/2005, for 02 721 615.9					
*							
,							

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Unique citation designation number. *Applicant is to place a check mark here if English language Translation is attached.